

WE ALERT THE COURT THAT THIS IS AN EMAIL CHAIN AND SHOULD BE READ FROM THE BOTTOM OF PAGE 3 UP TO PAGE 1. ATTACHMENTS FOLLOW ON PAGE 5.

From: Feuerstein, Stephen V.
To: Clark, Jennifer (USAMT); Sprenger, Michael R.
Subject: FW: Need help
Date: Thursday, September 16, 2021 11:04:45 AM
Attachments: 4473 Forms.pdf
image001.jpg
image003.jpg
Missoula DV Warning letter.docx
NICS Admonishment Letter.doc
image002.jpg
Importance: High

Well, I was correct they have been purged and NICS did not complete their investigation properly, thus they allowed a prohibited person to have the firearms. With no court of record to prove he was provided the admonishment this is not a good situation. Sorry to be the bearer of bad news. Below is the information from our NICS liaison which confirms all. I have drafted two letters, admonishment and notification, feel free to utilize either, both, whatever is needed to provide to DEFRANCE's attorney. The second letter actually has an acknowledgement form as the last page which has blank spots for the third party and DEFRANCE to fill in and acknowledge. This is what we utilize for such occasions, actually use these when we get a NICS or learn of a prohibited person who has acquired firearms with there being an agent of the state providing based on no response from NICS.

Stephen (Steve) Feuerstein
Investigative Analyst (IA)
DFD NICS Coordinator
ATF Billings Field Office
2929 3rd Ave. North Ste. 528
Billings, MT. 59101
Ph. 406-657-9700
Fax. 406-657-9701

From: Pickles, Dana <Dana.Pickles@atf.gov>
Sent: Thursday, September 16, 2021 10:03 AM
To: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>
Cc: Kimes, Christine N. <Christine.Kimes@atf.gov>; Reeves, Carol A. <Carol.Reeves@atf.gov>
Subject: FW: Need help
Importance: High

Hi Stephen.

Christine is out of the office until Tuesday and is on a detail until 10/11. I will try to assist you with your request.

You reference denied, proceeded and "no answer" transactions for this

UNDER SEAL - Page 1



subject. The DENI branch only gets denied transactions from FBI NICS. We do not get proceeds or delays (which I'm guessing are the ones you referred to as "no answer"). **Proceeds are purged by NICS the night they are proceeded and are gone forever by law. If no decision is ever made on a delayed transaction they are purged by NICS after 88 days and are gone forever by law.**

Looking at the NTN's on the attached 4473's you submitted I found the following:

1. NTN 2TLN6WG – the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
2. NTN 10015ZV34 – the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
3. NTN 100JMSSST – the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.
4. NTN 100JW6NZT – the 4473 was marked DELAYED (box 19c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
5. NTN 100PYP1ZR – the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.

In summary, I do not see where the subject was ever denied on any of the attached 4473's. The subject was proceeded twice and left in a delayed status

on the other three transactions and I can only assume those NTN's were never resolved and thus purged by NICS after 88 days. As the FFL is allowed to transfer the firearm(s) after 3 business days all the transfers were done legally.

I hope that helps.

Dana Pickles
Program Analyst
Denial Enforcement & NICS Intelligence Branch
US Dept. Of Justice Bureau of ATF
304.616.4181

ATF LGOG NEW 2



Please consider the environment before printing this e-mail.

From: Reeves, Carol A. <Carol.Reeves@atf.gov>
Sent: Thursday, September 16, 2021 11:38 AM
To: Pickles, Dana <Dana.Pickles@atf.gov>
Cc: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>
Subject: FW: Need help
Importance: High

Dana,
Since you are acting for Christine, can you help Stephen? I can't locate any of the ntn's listed on the forms in ANR as well.

Thanks

Carol Ann Reeves

Sr. Specialist, Denial Enforcement and NICS Intelligence Branch
244 Needy Road
Martinsburg, WV 25401
(304) 616-4182
Toll Free Fax (866) 561-2048
email:Carol.Reeves@atf.gov

From: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>
Sent: Thursday, September 16, 2021 11:33 AM
To: Kimes, Christine N. <Christine.Kimes@atf.gov>; Reeves, Carol A. <Carol.Reeves@atf.gov>
Subject: Need help

Christine and or Carol, we have a subject here in MT that has had multiple transactions, some

denied, some proceed and some no answer from NICS. I tried to pull up the Brady reports in ANR and they must have been purged or not entered as there was a proceed or no action was ever entered. The US Attorney has charged the subject and he is claiming that the government never told him he was prohibited by his DV conviction. Unfortunately the court issuing the DV conviction was not a court of record at that time and we have no way of determining if the judge advised of the prohibition. As such I am trying to obtain the Brady reports or any of the information that NICS input into their research on each NTN for each purchase.

Who do I need to contact to help with this? Is this even a possibility?

I have attached each 4473 which contain the NTN #'s.

Thank you for your help.

Stephen (Steve) Feuerstein
Investigative Analyst (IA)
DFD NICS Coordinator
ATF Billings Field Office
2929 3rd Ave. North Ste. 528
Billings, MT. 59101
Ph. 406-657-9700
Fax. 406-657-9701

SUBJECT: JOEL CHRISTENSEN, STORE MANAGER, CASH1PAWNS,
8720 ROLLERCOASTER RD., MISSOULA, MT 59808; (406)
728-2274
CASE: MICHAEL DEFRANCE

Mr. Defrance purchased and redeemed the following firearms –

- 1) Purchased a Taurus Judge in February 2015,
- 2) Redeemed a Remington 770 in September 2016,
- 3) Redeemed a Stevens 200 in March 2018 (and appears to have sold back the Taurus Judge for the money to redeem the Stevens 200)
- 4) Purchased a Smith & Wesson Model 60 Ladysmith in March 2018,
- 5) Redeemed the Remington 770 for the second time in August 2018.

Joel provide the 4473 form for each transaction.

OMB No. 140-0020

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and ExplosivesFirearms Transaction Record Part I -
Over-the-Counter**WARNING:** You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. §§ 921 *et seq.*, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.Transferor's Transaction
Serial Number (If any)

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on this form. "PLEASE PRINT."

CPIC00004247
9th

Section A - Must Be Completed Personally By Transferee (Buyer)

1. Transferee's Full Name
Last Name First Name Middle Name (If no middle name, state "NMN")

Mc France Michael Blake

2. Current Residence Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)
Number and Street Address City County State ZIP Code

309 Penance Dixon Sanders MT 59831

3. Place of Birth U.S. City and State -OR- Foreign Country 4. Height Ft. In. 5. Weight (Lbs.) 6. Gender ☒ Male ☐ Female 7. Birth Date Month Day Year

Salem Oregon 5 11 140 07 11 1993

8. Social Security Number (Optional, but will help prevent misidentification) 9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)

540-43-0932

10.a. Ethnicity 10.b. Race (Check one or more boxes.)

☐ Hispanic or Latino ☐ American Indian or Alaska Native ☐ Black or African American ☒ White
☒ Not Hispanic or Latino ☐ Asian ☐ Native Hawaiian or Other Pacific Islander

11. Answer questions 11.a. (see exceptions) through 11.i. and 12. (if applicable) by checking or marking "yes" or "no" in the boxes to the right of the questions.

a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual buyer, the dealer cannot transfer the firearm(s) to you. (See Instructions for Question 11.a.) Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b.

Yes No
☒ ☐

b. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)

Yes No
☐ ☒

c. Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)

Yes No
☐ ☒

d. Are you a fugitive from justice?

Yes No
☐ ☒

e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?

Yes No
☐ ☒

Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)

Yes No
☐ ☒

Have you been discharged from the Armed Forces under dishonorable conditions?

Yes No
☐ ☒

Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)

Yes No
☐ ☒

Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)

Yes No
☐ ☒

Have you ever renounced your United States citizenship?

Yes No
☐ ☒

Are you an alien illegally in the United States?

Yes No
☐ ☒

Are you an alien admitted to the United States under a nonimmigrant visa? (See Instructions for Question 11.l.) If you answered "no" to this question, do NOT respond to question 12 and proceed to question 13.

Yes No
☐ ☒

If you are an alien admitted to the United States under a nonimmigrant visa, do you fall within any of the exceptions set forth in the instructions? (If "yes," the licensee must complete question 20c.) (See Instructions for Question 12.) If question 11.l. is answered with a "no" response, then do NOT respond to question 12 and proceed to question 13.

Yes No
☐ ☐What is your State of residence (if any)? (See Instructions for question 13.) 14. What is your country of citizenship? (List/check more than one, if applicable. If you are a citizen of the United States, proceed to question 16.) ☒ United States of America ☐ Other (Specify) 15. If you are not a citizen of the United States, what is your U.S.-issued alien number or admission number?Previous Editions Are Obsolete
1 of 6Transferee (Buyer) Continue to Next Page
STAPLE IF PAGES BECOME SEPARATEDATF Form 4473 (5300.9) Part I
Revised April 2012

UNDER SEAL - Page 6

I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. If I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "Yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (See Instructions for Question 16).

16. Transferee's/Buyer's Signature Michael DeFranco 17. Certification Date 2-4-15

Section B - Must Be Completed By Transferor (Seller)

18. Type of firearm(s) to be transferred (check or mark all that apply):
☒ Handgun ☐ Long Gun (rifles or shotguns) ☐ Other Firearm (Frame, Receiver, etc. See Instructions for Question 18.)

19. If sale at a gun show or other qualifying event.
 Name of Event _____
 City, State _____

20a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 20.a.)
 Issuing Authority and Type of Identification MT DL Number on Identification 0704319934111 Expiration Date of Identification (if any)
 Month 07 Day 11 Year 2019

20b. Alternate Documentation (if driver's license or other identification document does not show current residence address) (See Instructions for Question 20.b.)

20c. Aliens Admitted to the United States Under a Nonimmigrant Visa Must Provide: Type of documentation showing an exception to the nonimmigrant visa prohibition. (See Instructions for Question 20.c.)

Questions 21, 22, or 23 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 21, 22 and 23.)

21a. Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency: (Month/Day/Year)
 Month 02 Day 04 Year 2015

21b. The NICS or State transaction number (if provided) was: 2TLN6WG

21c. The response initially provided by NICS or the appropriate State agency was:
☐ Proceed ☒ Delayed (The firearm(s) may be transferred on 2-11-15 (Missing Disposition Information date provided by NICS) if State law permits (optional))
☐ Denied ☐ Cancelled

21d. If initial NICS or State response was "Delayed," the following response was received from NICS or the appropriate State agency:
☐ Proceed _____ (date)
☐ Denied _____ (date)
☐ Cancelled _____ (date)
☒ No resolution was provided within 3 business days.

21e. (Complete if applicable) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: _____ (date). ☐ Proceed ☐ Denied ☐ Cancelled

21f. The name and Brady identification number of the NICS examiner (Optional)
 (name) _____ (number) _____

22. ☐ No NICS check was required because the transfer involved only National Firearms Act firearm(s). (See Instructions for Question 22.)

23. ☐ No NICS check was required because the buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS (See Instructions for Question 23.)
 Issuing State and Permit Type _____ Date of Issuance (if any) _____ Expiration Date (if any) _____ Permit Number (if any) _____

Section C - Must Be Completed Personally By Transferee (Buyer)

If the transfer of the firearm(s) takes place on a different day from the date that the transferee (buyer) signed Section A, the transferee must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 24 and 25.)

I certify that my answers to the questions in Section A of this form are still true, correct and complete.

24. Transferee's/Buyer's Signature Michael DeFranco 25. Recertification Date 2-10-15


Transferor (Seller) Continue to Next Page
 STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor (Seller)				
26. Manufacturer and/or Importer (If the manufacturer and importer are different, the FFL should include both.)	27. Model	28. Serial Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for question 29)	30. Caliber or Gauge
Taurus	The Judge	ET456209	Revolver	.45 LC/.410
X	X	X	X	X

30a. Total Number of Firearms (Please *handwrite* by printing e.g., one, two, three, etc. Do not use numerals.) One

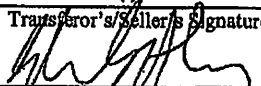
30b. Is any part of this transaction a Pawn Redemption? ☐ Yes ☒ No

30c. For Use by FFL (See Instructions for Question 30c.) 246-4550 Pistol - 49

Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days	
31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.)	32. Federal Firearms License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.)
 Cash 1 Pawn 8720 Roller Coaster Rd. Missoula, MT 59808	9-81-063-02-6E-01841

The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35.

I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

33. Transferor's/Seller's Name (Please print)	34. Transferor's/Seller's Signature	35. Transferor's/Seller's Title	36. Date Transferred
Steven Gehring		Broker	2-10-15

NOTICES, INSTRUCTIONS AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. §§ 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (rifle or shotgun) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and the buyer's State.

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), as long as all of the seller's completed Forms 4473 are filed in the same manner. **FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED:** If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name) or chronological (by date of transferee's certification) order.

If you or the buyer discover that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

Section A

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the seller. Two persons (other than the seller) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the

2/9/2015

FBI NICS E-Check System

FBI NICS E-Check - Search Request Details

NTN	2TLN6WG
Created Date	02/04/2015 22:20:15
Expiration Date	03/06/2015
Last Name	DE FRANCE
First Name	MICHAEL
Middle Name	BLAKE
Cadence (Ex. Jr., Sr., III)	Not Supplied
Gender	M
Height	511
Weight	140
Race and/or Ethnicity	WHITE
Date of Birth	7/11/1993
Place of Birth	OREGON
Social Security No	540430932
UPIN	Not Supplied
Miscellaneous No	Not Supplied
Miscellaneous Field	Not Supplied
State of Residence	MONTANA
Citizenship	US Citizen
Countries of Citizenship	UNITED STATES OF AMERICA
Purpose ID	Sale of Handgun
Status	DELAY
Submit User	SSETHNEY
Retrieved By	SSETHNEY
Status Date	02/09/2015 00:02:19
Retrieved Date	02/09/2015 18:46:21

[Print Page](#)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0020

Firearms Transaction Record Part I - Over-the-Counter

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. §§ 921 *et. seq.*, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Transferor's Transaction
Serial Number (if any)

2P100057405

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on this form. "PLEASE PRINT."

Redeem

Section A - Must Be Completed Personally By Transferee (Buyer)

1. Transferee's Full Name		First Name		Middle Name (if no middle name, state "NMN")	
Last Name		MICHAEL		BLAKE	
2. Current Residence Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)		City		State	ZIP Code
Number and Street Address		DIXON		MT	59831
3. Place of Birth		4. Height	5. Weight	6. Gender	7. Birth Date
U.S. City and State -OR- Foreign Country		Ft. 5 In. 11	(Lbs.) 135	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Month Day Year 07 11 1993
8. Social Security Number (Optional, but will help prevent misidentification)		9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)			
540-43-0932					
10.a. Ethnicity		10.b. Race (Check one or more boxes.)			
<input type="checkbox"/> Hispanic or Latino		<input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Black or African American <input checked="" type="checkbox"/> White			
<input checked="" type="checkbox"/> Not Hispanic or Latino		<input type="checkbox"/> Asian <input type="checkbox"/> Native Hawaiian or Other Pacific Islander			
11. Answer questions 11.a. (see exceptions) through 11.i. and 12 (if applicable) by checking or marking "yes" or "no" in the boxes to the right of the questions.					
a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual buyer, the dealer cannot transfer the firearm(s) to you. (See Instructions for Question 11.a.) Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b.					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
b. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
c. Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
d. Are you a fugitive from justice?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
f. Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
g. Have you been discharged from the Armed Forces under dishonorable conditions?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
j. Have you ever renounced your United States citizenship?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
k. Are you an alien illegally in the United States?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
l. Are you an alien admitted to the United States under a nonimmigrant visa? (See Instructions for Question 11.l.) If you answered "no" to this question, do NOT respond to question 12 and proceed to question 13.					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
12. If you are an alien admitted to the United States under a nonimmigrant visa, do you fall within any of the exceptions set forth in the instructions? (If "yes," the licensee must complete question 20c.) (See Instructions for Question 12.) If question 11.l. is answered with a "no" response, then do NOT respond to question 12 and proceed to question 13.					Yes <input type="checkbox"/> No <input type="checkbox"/>
13. What is your State of residence (if any)? (See Instructions for Question 13.)		14. What is your country of citizenship? (List/check more than one, if applicable. If you are a citizen of the United States, proceed to question 16.)		15. If you are not a citizen of the United States, what is your U.S.-issued alien number or admission number?	
MT		<input type="checkbox"/> Other (Specify)		<input checked="" type="checkbox"/> United States of America	

Note: Previous Editions Are Obsolete
Page 1 of 6

Transferee (Buyer) Continue to Next Page
STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300.9) Part I
Revised April 2012

I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "Yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (See Instructions for Question 16).

16. Transferee's/Buyer's Signature

17. Certification Date

Section B - Must Be Completed By Transferor (Seller)

18. Type of firearm(s) to be transferred (check or mark all that apply):

☐ Handgun ☒ Long Gun ☐ Other Firearm (Frame, Receiver, etc.)
(rifles or shotguns)
See Instructions for Question 18.)

19. If sale at a gun show or other qualifying event.

Name of Event

City, State

20a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 20.a.)

Issuing Authority and Type of Identification

Number on Identification

Expiration Date of Identification (if any)

MONTANA

COMMERCIAL DRIVER

0704319934111

Month Day Year
07 11 2019

20b. Alternate Documentation (if driver's license or other identification document does not show current residence address) (See Instructions for Question 20.b.)

20c. Aliens Admitted to the United States Under a Nonimmigrant Visa Must Provide: Type of documentation showing an exception to the nonimmigrant visa prohibition. (See Instructions for Question 20.c.)

Questions 21, 22, or 23 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 21, 22 and 23.)

21a. Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency: (Month/Day/Year)

Month Day Year
9 22 2016

21b. The NICS or State transaction number (if provided) was:

100152V34

21c. The response initially provided by NICS or the appropriate State agency was:

☐ Proceed ☒ Delayed
☐ Denied
☐ Cancelled

[The firearm(s) may be transferred on
4/23/16 (Missing Disposition
information date provided by NICS) if State law
permits (optional)]

21d. If initial NICS or State response was "Delayed," the following response was received from NICS or the appropriate State agency:

☐ Proceed (date)
☐ Denied (date)
☐ Cancelled (date)

☒ No resolution was provided within 3 business days.

21e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: (date).

☐ Proceed ☐ Denied ☐ Cancelled

21f. The name and Brady Identification number of the NICS examiner (Optional)

(name)

(number)

22. ☐ No NICS check was required because the transfer involved only National Firearms Act firearm(s). (See Instructions for Question 22.)23. ☐ No NICS check was required because the buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS (See Instructions for Question 23.)

Issuing State and Permit Type

Date of Issuance (if any)

Expiration Date (if any)

Permit Number (if any)

Section C - Must Be Completed Personally By Transferee (Buyer)

If the transfer of the firearm(s) takes place on a different day from the date that the transferee (buyer) signed Section A, the transferee must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 24 and 25.)

I certify that my answers to the questions in Section A of this form are still true, correct and complete.

24. Transferee's/Buyer's Signature

25. Recertification Date

Transferor (Seller) Continue to Next Page
STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor (Seller)				
26. Manufacturer and/or Importer (If the manufacturer and importer are different, the FFL should include both.)	27. Model	28. Serial Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for question 29)	30. Caliber or Gauge
REMINGTON	770	M71883612	RIFLE	243 WIN
X	X	X	X	X

30a. Total Number of Firearms (Please handwritten by printing e.g., one, two, three, etc. Do not use numerals.)
one

30b. Is any part of this transaction a Pawn Redemption? ☒ Yes ☐ No

30c. For Use by FFL (See Instructions for Question 30c.)
406-246-3228

Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) CASH 1 PAWN, INC. 8720 ROLLER COASTER RD. MISSOULA, MT 59808	32. Federal Firearms License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.) 9-81-01841
---	--

The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35.

I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the Identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

33. Transferor's/Seller's Name (Please print) Angus McGillis	34. Transferor's/Seller's Signature <i>Angus McGillis</i>	35. Transferor's/Seller's Title PAWN BROKER	36. Date Transferred 10/3/16
---	--	--	---------------------------------

NOTICES, INSTRUCTIONS AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. §§ 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (rifle or shotgun) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and the buyer's State.

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), as long as all of the seller's completed Forms 4473 are filed in the same manner. FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name) or chronological (by date of transferee's certification) order.

If you or the buyer discover that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

Section A

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the seller. Two persons (other than the seller) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the

9/22/2016

FBI NICS

joelchristensen



New

DisplayMDI	
General Information	
The following MDI has been marked as retrieved for the selected transaction. Print This Message	
Current Time	Sep 22, 2016 4:57:54 PM
Transaction Information	
NTN	100152V34
Created Date	Sep 22, 2016 4:54:18 PM
Subject Name	Michael Blake Detrance
MDI Message	
NTN 100152V34 will be Delayed while the NICS continues its research. If you do not receive a response from us, the Brady Law does not prohibit you from transferring the firearm on 9/28/2016.	
Submit	

OMB No. 1140-002

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."

Transferor's/Seller's
Transaction Serial
Number (if any)CP1001088
Redeem

Section A - Must Be Completed Personally By Transferee/Buyer

1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".)

Last Name (Including suffix (e.g., Jr, Sr, II, III))

DEFRANCE

First Name

MICHAEL

Middle Name

BLAKE

2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)

Number and Street Address

1035 GRIZZLY MOUNTAIN RD.

City

MISSOULA

County

MISSOULA

State

MT

ZIP Code

59808

3. Place of Birth

U.S. City and State

SALEM, OR

-OR-

Foreign Country

4. Height

Pt. 5

In. 11

5. Weight

(Lbs.)

145

6. Sex

☒ Male☐ Female

7. Birth Date

Month

07

Day

11

Year

1993

8. Social Security Number (Optional, but will help prevent misidentification)

540-43-0932

9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)

10.a. Ethnicity

☐ Hispanic or Latino☒ Not Hispanic or Latino

10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.)

☐ American Indian or Alaska Native☐ Asian☐ Black or African American☐ Native Hawaiian or Other Pacific Islander☒ White

11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.

a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)

Yes No

☒ ☐

b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)

☐ ☒

c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)

☐ ☒

d. Are you a fugitive from justice? (See Instructions for Question 11.d.)

☐ ☒

e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.

☐ ☒

f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)

☐ ☒

g. Have you been discharged from the Armed Forces under dishonorable conditions?

☐ ☒

h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)

☐ ☒

i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)

☐ ☒

12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.)

☒ United States of America (U.S.A.)☐ Other Country/Countries (Specify)

12.b. Have you ever renounced your United States citizenship?

Yes No

☐ ☒

12.c. Are you an alien illegally or unlawfully in the United States?

☐ ☒

12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)

☐ ☒

12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?

☒ N/A☐ ☐

13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or I-94#):

Previous Editions Are Obsolete

Transferee/Buyer Continue to Next Page
STAPLE IF PAGES BECOME SEPARATED

Page 1 of 6

ATF E-Form 4473 (5300.9)
Revised October 2016

UNDER SEAL - Page 14

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.e. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

14. Transferee's/Buyer's Signature

15. Certification Date

Section B - Must Be Completed By Transferor/Seller

16. Type of firearm(s) to be transferred (check or mark all that apply):

☐ Handgun ☒ Long Gun (rifles or shotguns) ☐ Other Firearm (frame, receiver, etc. See Instructions for Question 16.)

17. If transfer is at a qualifying gun show or event:

Name of Function: _____

City, State: _____

18.a. Identification (e.g., Virginia Driver's License (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18.a.)

Issuing Authority and Type of Identification

Number on Identification

Expiration Date of Identification (if any)

MT
CDL

0704319934111

Month

Day

Year

07

11

2019

18.b. Supplemental Government Issued Documentation (if identification document does not show current residence address) (See Instructions for Question 18.b.)

18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12.d.2. the transferor/seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.)

Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.)

19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:

Month 2 Day 24 Year 2018

19.b. The NICS or State transaction number (if provided) was:

1642 JM SSST

19.c. The response initially (first) provided by NICS or the appropriate State agency was:

☐ Proceed ☒ Delayed☐ Denied☐ Cancelled

(The firearm(s) may be transferred on 3/1/18 if State law permits (optional))

19.d. The following response(s) was/were later received from NICS or the appropriate State agency:

☒ Proceed 3/1/18 (date)☐ Denied (date)☐ Cancelled (date)☐ No response was provided within 3 business days.☐ Overturned

19.e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on:

____ (date).

☐ Proceed☐ Denied☐ Cancelled

19.f. The name and Brady identification number of the NICS examiner. (Optional)

(name)

(number)

19.g. Name of FFL Employee Completing NICS check. (Optional)

20. ☐ No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.)21. ☐ No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS. (See Instructions for Question 21.)

Issuing State and Permit Type

Date of Issuance (if any)

Expiration Date (if any)

Permit Number (if any)

Section C - Must Be Completed Personally By Transferee/Buyer

If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee/buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.)

I certify that my answers to the questions in Section A of this form are still true, correct, and complete.

22. Transferee's/Buyer's Signature

23. Recertification Date

Transferor/Seller Continue to Next Page
STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor/Seller Even If The Firearm(s) Is Not Transferred

24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
1. STEVENS ARMS	200	G490490	RIFLE	300 WIN MAG
2.				
3.				
4.				

REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

29. Total Number of Firearms Transferred (Please <i>handwrite</i> by printing e.g., zero, one, two, three, etc. Do not use numerals.) ONE	30. Check if any part of this transaction is a pawn redemption. <input checked="" type="checkbox"/> Line Number(s) From Question 24 Above: 1
31. For Use by Licensee (See Instructions for Question 31.) 406-499-1373 LM-SPC	32. Check if this transaction is to facilitate a private party transfer. <input type="checkbox"/> (See Instructions for Question 32.)
33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.)	

CASH 1 PAWN INC 8720 ROLLERCOASTER RD MISSOULA, MT 59808 9-81-01841

The Person Transferring The Firearm(s) Must Complete Questions 34-37.**For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36.**

I certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34. Transferor's/Seller's Name (Please print) Paul Christensen	35. Transferor's/Seller's Signature 	36. Transferor's/Seller's Title PAWN BROKER	37. Date Transferred 3/2/18
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NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor's/seller's State and the transferee's/buyer's State. (See ATF Publication 5300.5, State Laws and Published Ordinances.)

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferor/seller or the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

2/24/2018

Application

General Information

The following MDI has been marked as retrieved for the selected transaction.
Current Time Feb 24, 2018 5:41:14 PM

Transaction Information

NTN 100JMSST
Created Date Feb 24, 2018 5:07:11 PM
Subject Name MICHAEL BLAKE DEFRANCE

MDI Message

NTN 100JMSST will be Delayed while the NICS continues its research. If you do not receive a response from us, the Brady Law does not prohibit you from transferring the firearm on 3/1/2018.

3/1/2018

Application

Search Request Details

The following is a list of detailed information for the selected transaction.

NTN	100JMSSST
Created Date	2/24/18 5:07 PM
Expiration Date	3/26/18 6:07 PM
Last Name	DEFRANCE
First Name	MICHAEL
Middle Name	BLAKE
Cadence (Ex. Jr., Sr., III)	Not Supplied
Gender	M
Height	511
Weight	145
Race and/or Ethnicity	W
Date of Birth	7/11/1993
Place of Birth	OR
Social Security No	540430932
UPIN	Not Supplied
Miscellaneous No	Not Supplied
Miscellaneous Field	
State of Residence	MT
Citizenship	C
Country of Citizenship	US
Country of Citizenship 2	Not Supplied
Country of Citizenship 3	Not Supplied
Purpose ID	10
Submitted By	Joel.christensen
Retrieved By	Joel.christensen
Retrieved Date	3/1/18 12:57 PM
Status	Proceed
Status Date	3/1/2018 11:20 AM

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0020

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."

Transferor's/Seller's
Transaction Serial
Number (If any)
CP10010964
Sale

Section A - Must Be Completed Personally By Transferee/Buyer

1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".)

Last Name (Including suffix (e.g., Jr, Sr, II, III))

DEFRANCE

First Name

MICHAEL

Middle Name

BLAKE

2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)

Number and Street Address

1035 GRIZZLY MOUNTAIN RD

City

MISSOULA

County

MISSOULA

State

MT

ZIP Code

59808

3. Place of Birth

U.S. City and State

SALEM, OR

-OR-

Foreign Country

4. Height

Ft. 5

In. 11

5. Weight

(Lbs.) 145

6. Sex

☒ Male☐ Female

7. Birth Date

Month 07

Day 11

Year 1993

8. Social Security Number (Optional, but will help prevent misidentification)

540-43-0932

9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)

10.a. Ethnicity

☐ Hispanic or Latino☒ Not Hispanic or Latino

10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.)

☐ American Indian or Alaska Native☐ Black or African American☒ White☐ Asian☐ Native Hawaiian or Other Pacific Islander

11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.

Yes No

a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)

☒ ☐

b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)

☐ ☒

c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)

☐ ☒

d. Are you a fugitive from justice? (See Instructions for Question 11.d.)

☐ ☒

e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.

☐ ☒

f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)

☐ ☒

g. Have you been discharged from the Armed Forces under dishonorable conditions?

☐ ☒

h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)

☐ ☒

i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)

☐ ☒

12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.)

☒ United States of America (U.S.A.)☐ Other Country/Countries (Specify)

Yes No

12.b. Have you ever renounced your United States citizenship?

☐ ☒

12.c. Are you an alien illegally or unlawfully in the United States?

☐ ☒

12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)

☐ ☒

12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?

☒ N/A☐ ☐

13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or I-94#):

Previous Editions Are Obsolete

Transferee/Buyer Continue to Next Page

STAPLE IF PAGES BECOME SEPARATED

Page 1 of 6

ATF E-Form 4473 (5300.9)
Revised October 2016

UNDER SEAL - Page 19

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.e. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

14. Transferee's/Buyer's Signature

15. Certification Date

3-2-18

Section B - Must Be Completed By Transferor/Seller

16. Type of firearm(s) to be transferred (check or mark all that apply):

☒ Handgun ☐ Long Gun (rifles or shotguns) ☐ Other Firearm (frame, receiver, etc. See Instructions for Question 16.)

17. If transfer is at a qualifying gun show or event:

Name of Function: _____

City, State: _____

18.a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18.a.)

Issuing Authority and Type of Identification

Number on Identification

Expiration Date of Identification (if any)

MT
DL

0704319934111

Month
07Day
11Year
2019

18.b. Supplemental Government Issued Documentation (if identification document does not show current residence address) (See Instructions for Question 18.b.)

18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12.d.2. the transferor/seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.)

Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.)

19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:

Month: 3 Day: 2 Year: 2018

19.b. The NICS or State transaction number (if provided) was:

1000 SWLEN ET

19.c. The response initially (first) provided by NICS or the appropriate State agency was:

☐ Proceed ☒ Delayed☐ Denied☐ Cancelled

[The firearm(s) may be transferred on 3/8/18 if State law permits (optional)]

19.d. The following response(s) was/were later received from NICS or the appropriate State agency:

☐ Proceed (date) _____ ☐ Overturned☐ Denied (date) _____☐ Cancelled (date) _____☒ No response was provided within 3 business days.

19.e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on:

_____, (date).

☐ Proceed☐ Denied☐ Cancelled

19.f. The name and Brady identification number of the NICS examiner. (Optional)

(name)

(number)

19.g. Name of FFL Employee Completing NICS check. (Optional)

20. ☐ No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.)21. ☐ No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS. (See Instructions for Question 21.)

Issuing State and Permit Type

Date of Issuance (if any)

Expiration Date (if any)

Permit Number (if any)

Section C - Must Be Completed Personally By Transferee/Buyer

If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee/buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.)

I certify that my answers to the questions in Section A of this form are still true, correct, and complete.

22. Transferee's/Buyer's Signature

23. Recertification Date

3-8-18

Transferor/Seller Continue to Next Page
STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor/Seller Even If The Firearm(s) Is Not Transferred

24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
1. SMITH & WESSON	60 LADY SMITH	DAW6108	REVOLVER	357 MAG
2. X	X	X	X	X
3. X	X	X	X	X
4. X	X	X	X	X

REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

29. Total Number of Firearms Transferred (Please <i>handwrite</i> by printing e.g., zero, one, two, three, etc. Do not use numerals.) ONE	30. Check if any part of this transaction is a pawn redemption. <input type="checkbox"/> Line Number(s) From Question 24 Above:
31. For Use by Licensee (See Instructions for Question 31.) 466-499-1373	32. Check if this transaction is to facilitate a private party transfer. <input type="checkbox"/> (See Instructions for Question 32.)
33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX) (Hand stamp may be used.) CASH 1 PAWN INC 8720 ROLLERCOASTER RD MISSOULA, MT 59808 9-81-01841	

The Person Transferring The Firearm(s) Must Complete Questions 34-37.**For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36.**

I certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34. Transferor's/Seller's Name (Please print) Stephen Selby	35. Transferor's/Seller's Signature <i>[Signature]</i>	36. Transferor's/Seller's Title PAWN BROKER	37. Date Transferred 3/10/16
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NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor's/seller's State and the transferee's/buyer's State. (See ATF Publication 5300.5, *State Laws and Published Ordinances*.)

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferor/seller or the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0020

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(e). All entries must be handwritten in ink. "PLEASE PRINT."

Transferor's/Seller's
Transaction Serial
Number (If any)

C100126791

Redum

Section A - Must Be Completed Personally By Transferee/Buyer

1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".)

Last Name (Including suffix (e.g., Jr, Sr, II, III))

DEFRANCE

First Name

MICHAEL

Middle Name

BLAKE

2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)

Number and Street Address

1035 GRIZZLY MOUNTAIN ROAD

City

MISSOULA

County

MISSOULA

State

MT

ZIP Code

59808

3. Place of Birth

U.S. City and State

SALEM, OR

-OR- Foreign Country

4. Height

Ft. 5

In. 11

5. Weight

(Lbs.)

145

6. Sex

☒ Male

☐ Female

7. Birth Date

Month

07

Day

11

Year

1993

8. Social Security Number (Optional, but will help prevent misidentification)

540-43-0932

9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)

10.a. Ethnicity

☐ Hispanic or Latino

☒ Not Hispanic or Latino

10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.)

☐ American Indian or Alaska Native

☐ Asian

☐ Black or African American

☐ Native Hawaiian or Other Pacific Islander

☒ White

11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.

Yes No

a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)

☒ ☐

b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)

☐ ☒

c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)

☐ ☒

d. Are you a fugitive from justice? (See Instructions for Question 11.d.)

☐ ☒

e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.

☐ ☒

f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)

☐ ☒

g. Have you been discharged from the Armed Forces under dishonorable conditions?

☐ ☒

h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)

☐ ☒

i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)

☐ ☒

12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.)

☒ United States of America (U.S.A.)

☐ Other Country/Countries (Specify)

Yes No

12.b. Have you ever renounced your United States citizenship?

☐ ☒

12.c. Are you an alien illegally or unlawfully in the United States?

☐ ☒

12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)

☐ ☒

12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?

☒ N/A

☐ ☐

13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or I94#):

Previous Editions Are Obsolete

Transferee/Buyer Continue to Next Page

STAPLE IF PAGES BECOME SEPARATED

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. If I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

14. Transferee's/Buyer's Signature

15. Certification Date

5-25-18

Section B - Must Be Completed By Transferor/Seller

16. Type of firearm(s) to be transferred (check or mark all that apply):

☐ Handgun ☒ Long Gun (rifles or shotguns) ☐ Other Firearm (frame, receiver, etc. See Instructions for Question 16.)

17. If transfer is at a qualifying gun show or event:

Name of Function:

City, State:

18.a. Identification (e.g., Virginia Driver's License (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18.a.)

Issuing Authority and Type of Identification

Number on Identification

Expiration Date of Identification (if any)

MT
CDL

0704319934111

Month	Day	Year
07	11	2019

18.b. Supplemental Government Issued Documentation (if identification document does not show current residence address) (See Instructions for Question 18.b.)

18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12.d.2, the transferor/seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.)

Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.)

19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:

Month	Day	Year
06	25	2016

19.b. The NICS or State transaction number (if provided) was:

180PYPIZR

19.c. The response initially (first) provided by NICS or the appropriate State agency was:

☒ Proceed ☒ Delayed☐ Denied
☐ Cancelled

[The firearm(s) may be transferred on 6/30/16 if State law permits (optional)]

19.d. The following response(s) was/were later received from NICS or the appropriate State agency:

☒ Proceed 8/30/18 (date) ☐ Overturned
☐ Denied (date)
☐ Cancelled (date)
☐ No response was provided within 3 business days.

19.e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on:

(date). ☐ Proceed ☐ Denied ☐ Cancelled

19.f. The name and Brady identification number of the NICS examiner. (Optional)

(name)

(number)

19.g. Name of FFL Employee Completing NICS check. (Optional)

20. ☐ No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.)21. ☐ No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS. (See Instructions for Question 21.)

Issuing State and Permit Type

Date of Issuance (if any)

Expiration Date (if any)

Permit Number (if any)

Section C - Must Be Completed Personally By Transferee/Buyer

If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee/buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.)

I certify that my answers to the questions in Section A of this form are still true, correct, and complete.

22. Transferee's/Buyer's Signature

23. Recertification Date

7-1-18

Transferor/Seller Continue to Next Page
STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor/Seller Even If The Firearm(s) Is Not Transferred

24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
1. REMINGTON	770	M71863512	RIFLE	243 WIN
2.				
3.				
4.				

REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

29. Total Number of Firearms Transferred (Please <i>handwrite</i> by printing e.g., zero, one, two, three, etc. Do not use numerals.) one	30. Check if any part of this transaction is a pawn redemption. <input checked="" type="checkbox"/> Line Number(s) From Question 24 Above: 1
31. For Use by Licensee (See Instructions for Question 31.) 9/30 - NA 214 - 0361	32. Check if this transaction is to facilitate a private party transfer. <input type="checkbox"/> (See Instructions for Question 32.)
33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.) CASH 1 PAWN INC 8720 ROLLERCOASTER RD MISSOULA, MT 59808 9-81-01841	

The Person Transferring The Firearm(s) Must Complete Questions 34-37.**For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36.**

I certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(e). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34. Transferor's/Seller's Name (Please print) Shawn Selby	35. Transferor's/Seller's Signature 	36. Transferor's/Seller's Title BROKER	37. Date Transferred 09/01/18
---	---	--	---

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor's/seller's State and the transferee's/buyer's State. (See ATF Publication 5300.5, State Laws and Published Ordinances.)

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(e), allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferor/seller or the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.



8/30/2018

Application

Search Request Details

The following is a list of detailed information for the selected transaction.

NTN	100PYP1ZR
Created Date	8/25/18 8:05 PM
Expiration Date	9/24/18 8:05 PM
Last Name	Defrance
First Name	Michael
Middle Name	Blake
Cadence (Ex. Jr., Sr., III)	Not Supplied
Gender	M
Height	511
Weight	145
Race and/or Ethnicity	W
Date of Birth	7/11/1993
Place of Birth	OR
Social Security No	540430932
JPIN	Not Supplied
Miscellaneous No	Not Supplied
Miscellaneous Field	
State of Residence	MT
Citizenship	C
Country of Citizenship	US
Country of Citizenship 2	Not Supplied
Country of Citizenship 3	Not Supplied
Purpose ID	10
Submitted By	stephen.sethney
Retrieved By	joel.christensen
Retrieved Date	8/30/18 12:56 PM
Status	Proceed
Status Date	8/29/2018 9:11 PM



U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms
and Explosives

Denver Field Division

WWW.atf.gov

September 16, 2021

Michael Blake DEFRANCE
1035 Grizzly Mountain Road
Missoula, MT. 59808

WARNING NOTICE OF PROHIBITED FIREARM TRANSACTION

The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is the government agency responsible for enforcing Federal firearms laws. Information received by ATF indicates you have attempted to acquire one or more firearms, while you are prohibited from receiving or possessing a firearm. This letter officially notifies you that, because of your misdemeanor conviction for domestic violence, Federal law prohibits you from receiving or possessing a firearm or ammunition. Federal law also provides that it shall be unlawful to give false information to a Federal firearms licensee (FFL) during the acquisition or attempted acquisition of a firearm or ammunition.

Specifically, Federal law at Title 18, Chapter 44 United States Code (U.S.C.), Sections 922(g)(9), provide, that it is unlawful for any person who has been convicted in any court of a misdemeanor crime of domestic violence, to ship, transport, possess or receive any firearm or ammunition that has affected interstate or foreign commerce. Further, Title 18, Chapter 44 U.S.C., Sections 922(a)(6) and 924(a)(1)(A), provide that it is unlawful for any person to make a false statement to an FFL when acquiring or attempting to acquire a firearm or ammunition. For example, it is unlawful to misrepresent on a Firearms Transaction Record Part 1-Over-the-Counter, ATF Form 4473, that you are not prohibited from receiving or possessing a firearm by answering "No" to Question 11.(i), or making any other false representations. Any person under a Federal firearms disability who acquires a firearm or ammunition, or who makes a false statement or misrepresentation to an FFL when acquiring or attempting to acquire a firearm or ammunition may be imprisoned for up to ten (10) years and/or fined up to \$250,000. See Title 18, Chapter 44 U.S.C. Sections 922(g), and 924(a)(2).

You are hereby advised that you are prohibited from receiving or possessing a firearm or ammunition because of your prior misdemeanor domestic violence conviction. On 04/14/2013, you were arrested for a misdemeanor crime of domestic violence, PFMA, by the Sanders County Sheriff's Office, MT, and you were convicted of the MT Domestic Violence statute 45-5-206 (1) (a) which is a federal prohibiting conviction, on 05/17/2013. Your conviction is and has been prohibiting since 05/17/2013. If your conviction has been set aside or expunged you must obtain a copy of the court record or other legal document and file an appeal with the FBI NICS system. Instructions for filing an appeal are attached to this letter. If you are currently in possession of a firearm and/or ammunition that you previously possessed or received while under a Federal firearms disability, you have several options. In some instances, you may return the firearm immediately to the FFL. To discuss other options, you must contact **Special Agent _____ at (406) _____** immediately. You will be required to sign this Warning Notice and return a copy to ATF even if you have already returned the firearm to the FFL.

Be advised this Warning Notice does not constitute a bar to the United States, or any State or municipality, to prosecuting you for any criminal offense related to any unlawful firearm transaction. If you have any questions or have information concerning any illegal firearm purchases, please contact this office at (406) 441-3140.

Craig Howe

Resident Agent in Charge
Bureau of Alcohol, Tobacco Firearms and Explosives
Helena Field Office
Missoula Satellite Office

Warning Notice Served by:

Special Agent, ATF: _____

Date Served: _____

Location Served: via US mail _____

ATF Return Address: 200 East Broadway Room 334
Missoula, MT 59808

(if notice mailed)

I hereby acknowledge receipt of this Warning Notice:

Recipient's Name (Printed): _____

Recipient's Signature: _____

Date Signed by Recipient: _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Resident Agent in Charge

Billings, Montana 59101

www.atf.gov

September 16, 2021

Mr. Michael Blake DEFRANCE
1035 Grizzly Mountain Road
Missoula, MT 59808

Dear Mr. DEFRANCE:

The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) has been notified that you purchased or redeemed from pawn;

- 1) Purchased a Taurus Judge in February 2015,**
- 2) Redeemed a Remington 770 in September 2016,**
- 3) Redeemed a Stevens 200 in March 2018 (and appears to have sold back the Taurus Judge for the money to redeem the Stevens 200)**
- 4) Purchased a Smith & Wesson Model 60 Ladysmith in March 2018,**
- 5) Redeemed the Remington 770 for the second time in August 2018.**

You received these firearms in violation of Federal Law. Information available to the Federal Bureau of Investigation (FBI) and ATF indicates that you may be a person who is prohibited from possessing firearms.

Specifically, Federal law at Title 18, Chapter 44 United States Code (U.S.C.), Sections 922(g)(9), provide, that it is unlawful for any person who has been convicted in any court of a misdemeanor crime of domestic violence, to ship, transport, possess or receive any firearm or ammunition that has affected interstate or foreign commerce. Further, Title 18, Chapter 44 U.S.C., Sections 922(a)(6) and 924(a)(1)(A), provide that it is unlawful for any person to make a false statement to an FFL when acquiring or attempting to acquire a firearm or ammunition. For example, it is unlawful to misrepresent on a Firearms Transaction Record Part 1 - Over-the-Counter, ATF Form 4473, that you are not prohibited from receiving or possessing a firearm by answering "No" to Question 11.(i), or making any other false representations. Any person under a Federal firearms disability who acquires a firearm or ammunition, or who makes a false statement or misrepresentation to an FFL when acquiring or attempting to acquire a firearm or ammunition may be imprisoned for up to ten (10) years and/or fined up to \$250,000. See Title 18, Chapter 44 U.S.C. Sections 922(g), and 924(a)(2).

-2-

To resolve this matter, you should contact this office as soon as possible. The office is located at 200 East Broadway, Room 334, Missoula, MT 59808. The telephone number is 406-441-3160. Agent Michael Sprenger, assigned to this field office, will do everything possible to assist you in you with alternatives for lawfully disposing of the firearm.

As you may know, the Brady law requires Federal firearms licensees to contact the National Instant Criminal Background Check System (NICS) prior to transferring a firearm to any person who is not licensed under the provisions of the Gun Control Act (GCA). The purpose of the NICS check is to determine whether the purchaser is disabled from possessing and/or receiving firearms under Federal law. The GCA sets forth various categories of persons who are prohibited from receiving and/or possessing firearms under Federal law. The categories of prohibited persons are defined in 18 U.S.C. Sections 921 and 922, and in part 178 of the Code of Federal Regulations.

Mr. Michael Blake DEFRANCE

NICS is administered by the Federal Bureau of Investigation (FBI). The Brady Law provides a period of 3 business days for the background check to be conducted. After those 3 days the dealer may transfer the firearm to the purchaser.

However, the FBI will search records for up to 20 days to determine whether a purchaser is prohibited from possessing firearms under Federal law. If the FBI determines the person is prohibited from possessing a firearm that information is forwarded to the local office of the Bureau of Alcohol, Tobacco, Firearms and Explosives for further action.

Again, you must contact ATF as soon as possible to resolve this matter. You are prohibited from receiving or possessing firearms under Federal law, your continued possession may subject you to criminal prosecution. A conviction for a violation of the Federal firearms laws is a felony punishable by imprisonment of a term of not more than 5 to 10 years, and a fine of not more than \$250,000.

Craig Howe
Resident Agent in Charge

Enclosure:
Acknowledgment Form

ACKNOWLEDGMENT

Michael Blake DEFRANCE is prohibited from possessing and/or receiving firearms under Federal Law. **Michael Blake DEFRANCE** wishes to transfer a Hi-Point, model CF380, .380 caliber semi-auto pistol, S/N BCP54581, to _____ (person who accepts the firearm) who does not live in the same residence **Michael Blake DEFRANCE**.

_____ (The person who accepts the firearm) acknowledges that he/she may not return the firearm described above, or any other firearm to **Michael Blake DEFRANCE** or to any other person living in **Michael Blake DEFRANCE** residence.

Michael Blake DEFRANCE and _____ (person who accepts the firearm) understand that actual or constructive possession and/or receipt of a firearm by a prohibited person is punishable by a term of imprisonment of 5 to 10 years and by a fine of not more than \$250,000.

_____ (Person who accepts firearm) and **Michael Blake DEFRANCE** also understand that knowingly providing a firearm to a prohibited person may subject _____ (the person who accepts firearm) to Federal prosecution.

Signature of person accepting the firearm

Date

Signature of **Michael Blake DEFRANCE**

Date

Signature of ATF Special Agent

Date

From: Pickles, Dana
To: Clark, Jennifer (USAMT)
Cc: Weldon, Ryan (USAMT)
Subject: RE: Need help
Date: Friday, September 17, 2021 9:10:45 AM
Attachments: image001.jpg
image004.jpg
image002.jpg
image006.jpg
image008.jpg

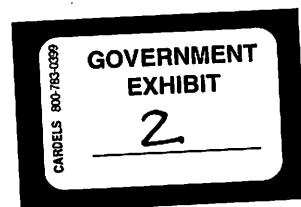
A delay is caused when something that is possibly prohibiting appears on the subjects record and requires NICS to research it to determine if the subject is prohibited or not for firearms possession. A proceed occurs once the subject is determined not to be prohibited.

For example:

I try to purchase a firearm and NICS runs my background check and they find that I have a misd battery arrest from 1996 with no final disposition. As battery is all meets in all states for the use or threatened use of physical force in regards to misd crimes of domestic violence (MCDVs... federally prohibiting under 18USC922g9) they have to research to see if A) I was convicted (and if so was if for the battery charge or was it reduced to assault, disorderly conduct, etc) and B) what was the relationship of the victim to me. Only certain relationships meet federally (such as spouse/ ex spouse, cohabitating or formerly cohabitating boyfriend/girlfriend, share a child in common, etc).

While NICS is researching this information I would be in a delayed status. After the 3rd business day (called the brady date) if NICS has still not determined if I am prohibited or not I can legally take possession of the firearm. Once NICS determines that I am *not* prohibited (let's say I battered my brother on the battery example I gave; that relationship does not meet federally for MCDV) they would proceed me and I could get the firearm if I haven't already taken possession of it.

Dana Pickles
Program Analyst
Denial Enforcement & NICS Intelligence Branch
US Dept. Of Justice Bureau of ATF
304.616.4181



ATF LGOG NEW 2



Please consider the environment before printing this e-mail.

From: Clark, Jennifer (USAMT) <JClark1@usa.doj.gov>
Sent: Friday, September 17, 2021 10:23 AM
To: Pickles, Dana <Dana.Pickles@atf.gov>
Cc: Weldon, Ryan (USAMT) <RWeldon@usa.doj.gov>
Subject: RE: Need help

Thank you! That information is very helpful.
I do have one question on proceed. What causes a proceed versus delayed response?

From: Pickles, Dana <Dana.Pickles@atf.gov>
Sent: Friday, September 17, 2021 5:33 AM
To: Clark, Jennifer (USAMT) <JClark1@usa.doj.gov>
Cc: Weldon, Ryan (USAMT) <RWeldon@usa.doj.gov>
Subject: RE: Need help

Hi all.

When a subject attempts to purchase a firearm in an FBI NICS state (only 37 states currently use NICS for all firearms background checks with another 6 states only using NICS for long gun checks) they will receive one of three responses: proceed, denied or delayed (also called "open").

A proceeded NTN can be immediate or can occur after several days (or longer) if NICS needs to research any potential prohibitions found on the subjects record. While NICS is researching any potential prohibitions the NTN is put in a delayed status. The firearm can be legally transferred after 3 business days. Once NICS has completed their research and determined to proceed the transaction the NTN (NICS transaction number) is purged by law from NICS system at midnight the night of the proceed. NICS will no longer have any information related to that attempted purchase.

A denied NTN can also be immediate or can occur after several days (or longer) if NICS needs to research any potential prohibitions found on the subjects

record. While NICS is researching any potential prohibitions the NTN is put in a delayed status. The firearm can be legally transferred after 3 business days. However, if the subject is ultimately denied the denial comes over to the ATF (via an electronic batch file) the next day and if the firearm had already been transferred the DENI branch will refer the NTN to the filed for firearm retrieval (referred delayed). If the subject did not get the firearm the DENI branch will either refer it to the field (referred standard) if it meets that field divisions referral guidelines or the NTN will be set to not referred if it does not meet that field divisions referral guidelines. All denials stay in our host application (ANR) permanently.

A delayed (or open) NTN occurs when FBI NICS does not have the necessary information to proceed or deny a subject. The firearm can be legally transferred after 3 business days if the NTN has been delayed. If NICS never makes a proceed or denied determination the NTN is purged off of the NICS system after 88 days. NICS will no longer have any information related to that attempted purchase.

I should note that most of the big box stores (Walmart, Bass Pro, etc) will never transfer without a proceed but almost all of the smaller FFL's will make the transfer if the subject is not denied by the 3rd business day.

I hope this helps clarify the process for you. Feel free to let me know if you have any other questions or concerns.

Dana Pickles
Program Analyst
Denial Enforcement & NICS Intelligence Branch
US Dept. Of Justice Bureau of ATF
304.616.4181

ATF LGOG NEW 2



Please consider the environment before printing this e-mail.

From: Clark, Jennifer (USAMT) <JClark1@usa.doj.gov>

Sent: Thursday, September 16, 2021 6:18 PM

To: Pickles, Dana <Dana.Pickles@atf.gov>

Cc: Weldon, Ryan (USAMT) <RWeldon@usa.doj.gov>

Subject: RE: Need help

Analyst Pickles:

Thank you so much for your information and help on this matter. Can you please explain the difference, if any, between a delayed, no response, and then after three days the firearm can be transferred vs. the delayed and a proceed notice. What triggers a proceed response? Some of the paperwork we received with the 'proceed' response said that it would be delayed while NICS conducted its research but that the firearm could be transferred in three days. Is that true for all proceed responses?

Thank you!

Jen

Jennifer S. Clark

Assistant U.S. Attorney

U.S. Attorney's Office – District of Montana

PO Box 8329 | Missoula, MT 59807

☎ (406)329-4265 | ✉ Jennifer.Clark2@usdoj.gov

From: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>

Sent: Thursday, September 16, 2021 11:05 AM

To: Clark, Jennifer (USAMT) <JClark1@usa.doj.gov>; Sprenger, Michael R.

<Michael.Sprenger@atf.gov>

Subject: FW: Need help

Importance: High

Well, I was correct they have been purged and NICS did not complete their investigation properly, thus they allowed a prohibited person to have the firearms. With no court of record to prove he was provided the admonishment this is not a good situation. Sorry to be the bearer of bad news. Below is the information from our NICS liaison which confirms all. I have drafted two letters, admonishment and notification, feel free to utilize either, both, whatever is needed to provide to DEFRANCE's attorney. The second letter actually has an acknowledgement form as the last page which has blank spots for the third party and DEFRANCE to fill in and acknowledge.

This is what we utilize for such occasions, actually use these when we get a NICS or learn of a prohibited person who has acquired firearms with there being an agent of the state providing based on no response from NICS.

Stephen (Steve) Feuerstein

Investigative Analyst (IA)

DFD NICS Coordinator

ATF Billings Field Office

2929 3rd Ave. North Ste. 528
Billings, MT. 59101
Ph. 406-657-9700
Fax. 406-657-9701

From: Pickles, Dana <Dana.Pickles@atf.gov>

Sent: Thursday, September 16, 2021 10:03 AM

To: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>

Cc: Kimes, Christine N. <Christine.Kimes@atf.gov>; Reeves, Carol A. <Carol.Reeves@atf.gov>

Subject: FW: Need help

Importance: High

Hi Stephen.

Christine is out of the office until Tuesday and is on a detail until 10/11. I will try to assist you with your request.

You reference denied, proceeded and "no answer" transactions for this subject. The DENI branch only gets denied transactions from FBI NICS. We do not get proceeds or delays (which I'm guessing are the ones you referred to as "no answer"). **Proceeds are purged by NICS the night they are proceeded and are gone forever by law. If no decision is ever made on a delayed transaction they are purged by NICS after 88 days and are gone forever by law.**

Looking at the NTN's on the attached 4473's you submitted I found the following:

1. NTN 2TLN6WG – the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
2. NTN 10015ZV34 – the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)

3. NTN 100JMSSST – the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.
4. NTN 100JW6NZT– the 4473 was marked DELAYED (box 19c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
5. NTN 100PYP1ZR – the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.

In summary, I do not see where the subject was every denied on any of the attached 4473's. The subject was proceeded twice and left in a delayed status on the other three transactions and I can only assume those NTNs were never resolved and thus purged by NICS after 88 days. As the FFL is allowed to transfer the firearm(s) after 3 business days all the transfers were done legally.

I hope that helps.

Dana Pickles
Program Analyst
Denial Enforcement & NICS Intelligence Branch
US Dept. Of Justice Bureau of ATF
304.616.4181

ATF LGOG NEW 2



Please consider the environment before printing this e-mail.

From: Reeves, Carol A. <Carol.Reeves@atf.gov>
Sent: Thursday, September 16, 2021 11:38 AM
To: Pickles, Dana <Dana.Pickles@atf.gov>
Cc: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>
Subject: FW: Need help
Importance: High

Dana,

Since you are acting for Christine, can you help Stephen? I can't locate any of the ntn's listed on the forms in ANR as well.

Thanks

Carol Ann Reeves

Sr. Specialist, Denial Enforcement and NICS Intelligence Branch
244 Needy Road
Martinsburg, WV 25401
(304) 616-4182
Toll Free Fax (866) 561-2048
email:Carol.Reeves@atf.gov

From: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>

Sent: Thursday, September 16, 2021 11:33 AM

To: Kimes, Christine N. <Christine.Kimes@atf.gov>; Reeves, Carol A. <Carol.Reeves@atf.gov>

Subject: Need help

Christine and or Carol, we have a subject here in MT that has had multiple transactions, some denied, some proceed and some no answer from NICS. I tried to pull up the Brady reports in ANR and they must have been purged or not entered as there was a proceed or no action was ever entered. The US Attorney has charged the subject and he is claiming that the government never told him he was prohibited by his DV conviction. Unfortunately the court issuing the DV conviction was not a court of record at that time and we have no way of determining if the judge advised of the prohibition. As such I am trying to obtain the Brady reports or any of the information that NICS input into their research on each NTN for each purchase.

Who do I need to contact to help with this? Is this even a possibility?

I have attached each 4473 which contain the NTN #'s.

Thank you for your help.

Stephen (Steve) Feuerstein
Investigative Analyst (IA)
DFD NICS Coordinator
ATF Billings Field Office
2929 3rd Ave. North Ste. 528
Billings, MT. 59101
Ph. 406-657-9700
Fax. 406-657-9701